

# Quantum matter near a cosmological singularity

Alexandre Serantes, UGent

Based on [2312.11643](#) with Jorge Casalderrey-Solana and David Mateos

---

stringtheory.pl/2024, Kraków, 08/06/2024



Institut de Ciències del Cosmos  
UNIVERSITAT DE BARCELONA



- Spacetime singularities continue to be one of the most perplexing predictions of GR.
- Our current generic understanding comprises global and local approaches: singularity theorems, Belinski–Khalatnikov–Lifshitz (BKL) conjecture.
- This understanding is *essentially* classical: not only not quantum gravitational, but not even classical gravity + quantum matter effects (e.g. violation of energy conditions).
- In this talk I explore such quantum matter effects in the BKL context.

The BKL conjecture is a proposal for the behavior of spacetime geometry near a *generic spacelike singularity* [Belinski, Khalatnikov & Lifshitz; 1960s & 1970s]

## Main features

- The dynamics are **ultralocal**.
- At each spatial point, the metric undergoes an **infinite number of chaotic oscillations**.

$$\dots \rightarrow ds_{K_i}^2 \rightarrow ds_{K_{i+1}}^2 \rightarrow ds_{K_{i+2}}^2 \rightarrow \dots$$

Long Kasner epochs with fast transitions between them

## Interlude: the Kasner universe

The Kasner spacetime is a **homogeneous but anisotropic vacuum solution of GR** [Kasner; 1921]

$$ds^2 = -dt^2 + \sum_{i=1}^{d-1} (-t)^{2p_i} dx_i^2, \quad \sum_{i=1}^{d-1} p_i = \sum_{i=1}^{d-1} p_i^2 = 1.$$

In  $d = 4$ ,

$$p_1 = \frac{1+u}{1+u+u^2}, \quad p_2 = \frac{u(1+u)}{1+u+u^2}, \quad p_3 = -\frac{u}{1+u+u^2},$$

$$p_1(1/u) = p_2(u), \quad p_2(1/u) = p_1(u), \quad p_3(1/u) = p_3(u),$$

$$u \geq 1.$$

For finite  $u \geq 1$ :

- Two directions contract & one direction expands.
- The spatial volume element contracts  $\sqrt{-g^{(3)}} = |t|$ : there is a spacelike curvature singularity at  $t = 0$ .
- $u = 1$ , degenerate case:  $p_1 = p_2 = \frac{2}{3}$ ,  $p_3 = -\frac{1}{3}$ ;  $u \rightarrow \infty$ , Minkowski space in Milne coordinates.

In BKL dynamics:

- The transition between successive Kasner universes is driven by the expanding direction.
- In terms of Kasner exponents,

$$p_1(u) \rightarrow p_3(u-1), \quad p_2(u) \rightarrow p_2(u-1), \quad p_3(u) \rightarrow p_1(u-1),$$

with the appropriate inversion if  $u-1 < 1$ .

- The Kasner exponent transition rules are captured by a Bianchi type II vacuum solution.

# The purpose of this talk

The BKL dynamics have been shown to persist in the presence of a wide variety of forms of classical matter...

## What is the status of the BKL dynamics in the presence of quantum matter?

- This is tough question: problem in nonequilibrium QFT in a curved spacetime with extremely violent dynamics.
- Ultimate goal: utilize holography to address this question for large  $N$ , strongly interacting QFTs.
- Long-term objective: requires solving self-consistently the four-dimensional boundary Einstein equations sourced by the holographic stress-energy tensor prescribed by the five-dimensional bulk dynamics.

In this talk I will report the first steps in this direction

0. Start by restricting ourselves to the basic building block of the BKL dynamics: the Kasner universe.
1. Utilize holography to determine the dynamics of the quantum stress-energy tensor  $\langle T_{\mu\nu} \rangle$ .
2. Utilize boundary Einstein gravity to find the imprint of  $\langle T_{\mu\nu} \rangle$  on the Kasner metric at leading order in the boundary gravitational coupling.

1. The Kasner metric inevitably drives the holographic QFT out-of-equilibrium.
2.  $\langle T_{\mu\nu} \rangle$  behaves universally in the vicinity of the spacelike singularity.
3. The leading-order backreaction of  $\langle T_{\mu\nu} \rangle$  leads to a universal correction of the Kasner exponents suggesting that the BKL behavior might be avoided in semiclassical gravity.



# Holographic construction

## Action:

- Five-dimensional Einstein gravity with negative cosmological constant  $\Lambda = -6$  and a  $m^2 = -3$  scalar field,

$$S = \int d^5x \sqrt{-g} \left( \frac{1}{2} R - (\partial\phi)^2 - 2V(\phi) \right) + S_{GH} + S_{ct},$$

$$V(\phi) = -3 - \frac{3}{2}\phi^2 - \frac{1}{3}\phi^4 + \dots$$

- We work in the standard quantization:  $\phi$  is dual to a scalar relevant primary operator  $\mathcal{O}$  of conformal dimension  $\Delta = 3$ .

## Boundary conditions:

- The boundary metric is the Kasner spacetime.
- We break explicitly the conformal symmetry of the boundary CFT by turning on a constant source  $M$  for  $\mathcal{O}$ ,

$$S_{CFT} \rightarrow S_{QFT} \equiv S_{CFT} + M \int \mathcal{O}.$$

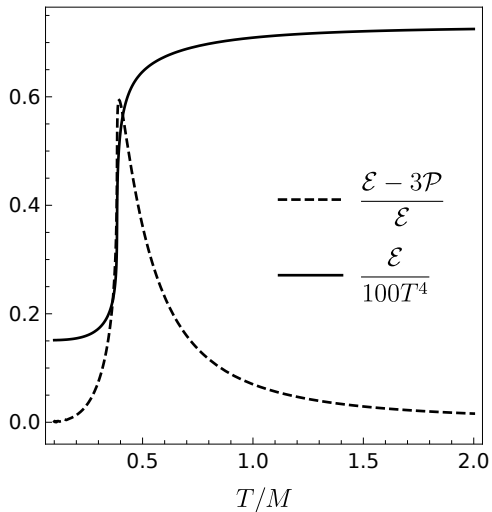
## States:

- We focus on mixed QFT states that inherit the spatial translational invariance of the boundary Kasner metric.
- There is an AH at the initial time slice.
- In EF coordinates, the bulk geometry is parameterized as

$$ds^2 = -Adt^2 + 2dtdr + \Sigma^2 \left( e^{B_1 + \sqrt{3}B_2} dx_1^2 + e^{B_1 - \sqrt{3}B_2} dx_2^2 + e^{-2B_1} dx_3^2 \right),$$

with  $A$ ,  $\Sigma$ ,  $B_1$ ,  $B_2$  and  $\phi$  functions of  $t$  and  $r$  only.

For illustrative purposes, I choose a  $V(\phi)$  that describes a RG flow between a UV and an IR fixed point.



**Message I: the holographic QFT is  
inevitably driven out-of-equilibrium**

---

- By symmetry,

$$T_{\nu}^{\mu}(t) = \frac{N^2}{2\pi^2} \text{diag}(-\mathcal{E}(t), \mathcal{P}_1(t), \mathcal{P}_2(t), \mathcal{P}_3(t))$$

- **Diagnostic:** compare the microscopic pressures,  $\mathcal{P}_i(t)$ , with the pressures predicted by first-order relativistic hydrodynamics,  $P_i^{\text{hydro}}(t)$ .

In the Landau frame,

$$T_{\mu\nu} = \epsilon U_{\mu} U_{\nu} + P_{\text{eq.}}(\epsilon) \Delta_{\mu\nu} - \eta(\epsilon) \sigma_{\mu\nu} - \xi(\epsilon) \Delta_{\mu\nu} (\nabla \cdot U) + \dots$$

$\epsilon$ : energy density

$U_{\mu}$ : unit-normalized fluid velocity

$\Delta_{\mu\nu} = g_{\mu\nu} + U_{\mu} U_{\nu}$ : projector

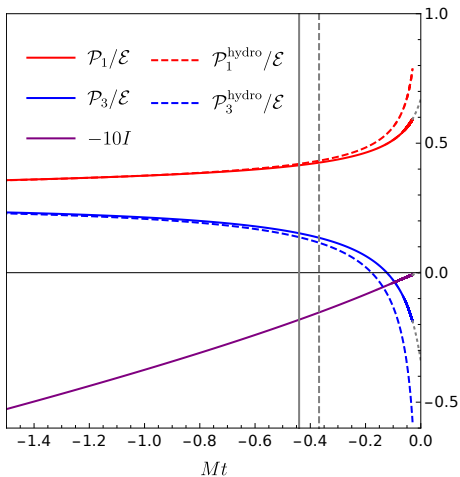
$P_{\text{eq.}}$ : equilibrium EoS

$\sigma_{\mu\nu}$ : shear tensor (symmetric, transverse and traceless part of the velocity gradient)

$\eta$ : shear viscosity

$\xi$ : bulk viscosity

Even if we start with a locally equilibrated state, the holographic QFT is driven eventually driven out-of-equilibrium as the Kasner singularity is approached



$$t_i = -20, p_1 = p_2 = \frac{2}{3}, p_3 = -\frac{1}{3}$$

**Message II: the near-singularity  
dynamics is universal**

---

- In every example,  $\langle T_{\mu\nu} \rangle$  features a power-law divergence as  $t \rightarrow 0^-$  and the Kasner singularity is approached,

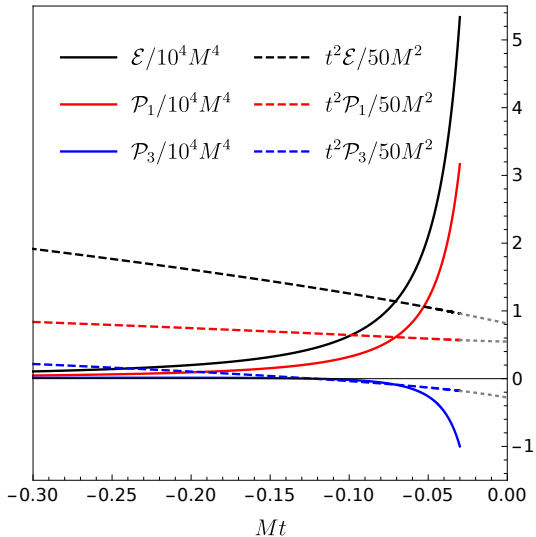
$$\langle T_{\mu\nu} \rangle \sim \frac{A_{\mu\nu}}{t^2}.$$

- Since  $\mathcal{E}$  diverges, **the near-singularity behavior is solely controlled by the UV fixed point**. Compatible with the fact that  $l \rightarrow 0$ .

- In the  $t \rightarrow 0^-$  limit, the numerical simulations are compatible with the relations

$$\mathcal{P}_i(t) = p_i \mathcal{E}(t).$$





$$t_i = -20, p_1 = p_2 = \frac{2}{3}, p_3 = -\frac{1}{3}$$

## Theoretical explanation (I)

This near-singularity universality can be understood theoretically.

Argument (for simplicity,  $M = 0$ ):

- Assume  $\langle T_{\mu\nu} \rangle \sim (-t)^{\gamma-4}$ , with underdetermined  $\gamma < 4$ .
- Solve bulk Einstein equations in a near-boundary,  $1/r$ -expansion,

$$A = r^2 - \frac{\kappa|t|^{\gamma-4}}{r^2} + \frac{\kappa(\gamma-4)|t|^{\gamma-5}}{2r^3} - \frac{9\kappa(\gamma-4)^2|t|^{\gamma-6}}{40r^4} + \dots,$$

$$|t|^{-\frac{1}{3}}\Sigma = r + \frac{1}{3t} - \frac{1}{9t^2r} + \frac{5}{81t^3r^2} - \frac{10}{243t^4r^3} + \frac{22}{729t^5r^4} + \dots + \kappa \left( -\frac{(3\gamma-8)|t|^{\gamma-5}}{60r^4} + \frac{(176-108\gamma+15\gamma^2)|t|^{\gamma-6}}{360r^5} - \frac{(-18976+15564\gamma-3930\gamma^2+315\gamma^3)|t|^{\gamma-7}}{15120r^6} + \dots \right),$$

$$B_i = b_i \left( \log|t| + \frac{1}{tr} - \frac{1}{2t^2r^2} + \frac{1}{3t^3r^3} - \frac{1}{4t^4r^4} + \dots \right) + \lambda_i \left( -\frac{|t|^{\gamma-4}}{r^4} + \frac{(\gamma-4)|t|^{\gamma-5}}{r^5} - \frac{(\gamma-4)(7\gamma-34)|t|^{\gamma-6}}{12r^6} + \dots \right) + b_i \kappa \left( -\frac{|t|^{\gamma-5}}{5r^5} - \frac{(\gamma-5)|t|^{\gamma-6}}{5r^6} + \dots \right),$$

Splitting into a  $\gamma$ -independent and a  $\gamma$ -dependent piece.

## Interlude: the vacuum solution

- The  $\gamma$ -independent piece is a solution of five-dimensional Einstein gravity with a negative cosmological constant with  $\langle T_{\mu\nu} \rangle = 0$ : *vacuum solution*.

$$A_0(t, r) = r^2, \quad \Sigma_0(t, r) = (-t)^{\frac{1}{3}} r \left(1 + \frac{1}{tr}\right)^{\frac{1}{3}}, \quad B_{i,0}(t, r) = b_i \log(-t) + b_i \log\left(1 + \frac{1}{tr}\right).$$

- In FG coordinates, the vacuum solution is simply

$$ds^2 = \frac{d\mu^2 + ds_K^2}{\mu^2}.$$

There is always such a five-dimensional uplift with zero stress-energy tensor of a four-dimensional Ricci-flat solution

- The vacuum solution has a singularity at  $r_s = -1/t$ , an AH at  $r_{AH} = -4/(3t)$ , and an EH at  $r_{EH} = -2/t$ .

$$r_s \leq r_{AH} \leq r_{EH}$$

## Theoretical explanation (II)

- The near-boundary expansion has the functional form

$$\frac{A}{A_0} = 1 + (-t)^\gamma A_c \left( -\frac{1}{tr} \right), \quad \frac{\Sigma}{\Sigma_0} = 1 + (-t)^\gamma \Sigma_c \left( -\frac{1}{tr} \right), \quad B_i - B_{i,0} = (-t)^\gamma B_{i,c} \left( -\frac{1}{tr} \right).$$

- To find  $\gamma$ , we introduce the scaling variable  $\zeta = -1/(tr)$ , and solve the bulk Einstein equations in the  $t \rightarrow 0^-$  limit at finite  $\zeta$ .
- The bulk Einstein equations reduce to a third-order master ODE in  $\zeta$  for  $\Sigma_c$ , and an additional second-order ODE in  $\zeta$  for  $b_1 B_{1,c} - b_2 B_{2,c}$  source by  $\Sigma_c$ .
- Demanding that  $\Sigma_c$  has the right asymptotic behavior and is regular at the EH of the vacuum solution uniquely fixes  $\gamma = 2$  and leads to

$$\langle T_{\mu\nu} \rangle \sim (-t)^{\gamma-4} = (-t)^{-2}.$$

- Similarly, noting that  $\gamma = 2$  and demanding that  $b_1 B_{1,c} - b_2 B_{2,c}$  has the right asymptotic behavior and is regular at the EH of the vacuum solution allows to express  $\lambda_i$  in terms of  $\kappa$  and leads to

$$\mathcal{P}_i = p_i \mathcal{E}.$$

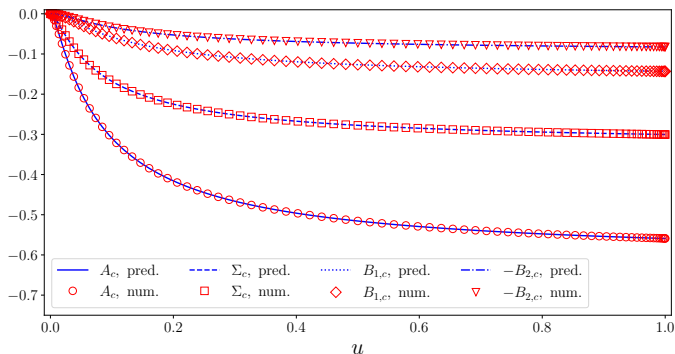
- The argument can be simply generalized to  $\phi \neq 0$ , with identical main results.
- Note that  $(-t)^\gamma \times \{A_c(\zeta), \Sigma_c(\zeta), B_{1,c}(\zeta), B_{2,c}(\zeta)\}$  act as linearized perturbations of the vacuum solution in the  $t \rightarrow 0^-$  limit at finite  $\zeta$ : **we are explaining a divergent boundary one-point function in terms of a linearized bulk gravity computation.**
- This computation is morally analogous to the one of Janik & Peschanski in 2005 to find the late-time hydrodynamic behavior of holographic Bjorken flow, however here we are zooming in into short-time physics.

## Final crosscheck

$$A_c = -\frac{2}{3}\zeta((M^2 - 18\kappa)\zeta - 9\kappa(2 - \zeta)\log(1 - \zeta)),$$

$$\Sigma_c = \frac{\zeta(9\kappa(12 - 12\zeta + \zeta^2) - M^2\zeta(9 - 7\zeta)) + 54\kappa(2 - 3\zeta + \zeta^2)\log(1 - \zeta)}{27(1 - \zeta)},$$

$$B_{i,c} = -b_i \frac{\zeta(9\kappa(6 - 15\zeta + 5\zeta^2) + 8M^2\zeta^2) + 54\kappa(1 - \zeta)(1 - 2\zeta)\log(1 - \zeta)}{36(1 - \zeta)}.$$



$u = 1 + \sqrt{3}$ , comparison time  $-0.033$

**Message III: perturbative  
backreaction suggests the BKL  
behavior might be avoided**

---

## Perturbative backreaction (I)

- Since  $\langle T_{\mu\nu} \rangle$  diverges universally as the cosmological singularity is approached, **its perturbative backreaction on the four-dimensional Kasner metric should also exhibit universality.**

- Relevant parameter: effective gravitational coupling

$$\mathcal{G} = N^2 G^{(4)}$$

- We work in the  $G^{(4)} \rightarrow 0$ ,  $N \rightarrow \infty$  limit with  $\mathcal{G}$  fixed and perturbatively small.

$$G_{\mu\nu}^{(4)} = 8\pi\mathcal{G}\langle T_{\mu\nu} \rangle$$

$$g_{\mu\nu}^{(4)} = g_{K,\mu\nu}^{(4)} + \mathcal{G}\delta g_{\mu\nu}^{(4)} + O(\mathcal{G}^2), \quad \langle T_{\mu\nu} \rangle = \langle T_{\mu\nu} \rangle_K + \mathcal{G}\delta\langle T_{\mu\nu} \rangle + O(\mathcal{G}^2)$$

- At leading nontrivial order, we have to solve the boundary Einstein equations linearized around the Kasner metric with the holographic stress-energy tensor as a source.



## Perturbative backreaction (II)

- Crucial point: since  $\langle T_{\mu\nu} \rangle \sim t^{-2}$ , the background  $\log g_{ii}(t) = 2p_i \log(-t)$  gets a leading order nontrivial perturbative correction with the same  $\log(-t)$  time dependence.

- **This correction can be reabsorbed into a perturbative redefinition of the Kasner exponents  $p_i$ .**

$$p_1 + p_2 + p_3 = 1 + 4/\pi\Lambda\mathcal{G}, \quad p_1^2 + p_2^2 + p_3^2 = 1.$$

$$\Lambda \equiv \lim_{t \rightarrow 0^-} t^2 \mathcal{E}(t)$$

(Compare with the classical massless scalar field,  
 $p_1 + p_2 + p_3 = 1$  &  $p_1^2 + p_2^2 + p_3^2 = 1 - 16\pi G\Lambda$ )

- If  $\Lambda > 0$ , **the correction opens a novel window where the three Kasner exponents can be positive.**
- Having all  $p_i \geq 0$  will destroy the instability driving the BKL transitions and end the BKL dynamics after a finite number of epochs.

More research is needed to explore whether this is actually the case!

- We can immediately generalize the present analysis to Bianchi type II (Kasner-to-Kasner transition), Bianchi type IX (mixmaster universe, full BKL dynamics).
- Build a self-consistent semi-holographic framework to treat finite  $\mathcal{G}$ .
- Full vacuum solution features naked singularities, but here only a fraction of it becomes relevant dynamically. Suggests a role for similar five-dimensional uplifts of four-dimensional Ricci flat geometries more generally?

Thanks!